

## **Information on data protection for shareholders**

Compliance with data protection regulations is very important to PVA TePla AG. With the following information, PVA TePla AG would like to inform its shareholders or their authorized representatives about the processing of their personal data and the rights to which they are entitled under data protection law.

### **I. Responsible persons**

PVA TePla AG is responsible for the processing of personal data. This can be reached at:

PVA TePla AG  
In the Westpark 10-12  
35435 Wetttenberg

### **II. Purposes and legal bases of processing**

PVA TePla AG processes personal data of shareholders (e.g. surname and first name, address, e-mail address, number of shares, class of shares and type of ownership of shares, postal votes/instructions, admission ticket number, access data for the use of the Internet service and, if applicable, personal data of authorized representatives on the basis of the EU General Data Protection Regulation (GDPR), the German Federal Data Protection Act (BDSG), the German Stock Corporation Act (AktG) and all other relevant legal provisions.

The processing of personal data is legally necessary for the proper preparation, conduct and follow-up of the Annual General Meeting of PVA TePla AG, for the exercise of voting rights and for participation. The legal basis for the processing is Art. 6 para. 1 sentence 1 lit. c) GDPR in conjunction with. §§ Sections 67e, 118 et seq. AktG. PVA TePla AG generally receives the shareholders' personal data via the registration office from the credit institution that the shareholders have commissioned to hold their shares in custody (custodian bank). In some cases, PVA TePla AG may also receive personal data directly from the shareholders. It is not possible to participate in the Annual General Meeting without providing the personal data required to hold the meeting.

In addition, PVA TePla AG processes personal data of shareholders or authorized representatives, if necessary, to fulfill other legal obligations such as regulatory requirements or retention obligations under stock corporation, commercial and/or tax law. The legal basis for data processing in these cases is Art. 6 para. 1 sentence 1 lit. c) GDPR in conjunction with the legal provision from which a corresponding obligation to process data follows or, if there is no legal obligation, Art. 6 para. 1 sentence 1 lit. f) GDPR (legitimate interests of PVA TePla AG).

When using the password-protected Internet services on the PVA TePla AG website, data that is technically necessary to display the website to shareholders or authorized representatives (including IP address, device type, browser type, date and time of the respective visitor request) is also processed automatically. The legitimate interest of PVA TePla AG lies in ensuring the functionality and security of the website (Art. 6 para. 1 sentence 1 lit. f) GDPR). In addition, the use of password-protected internet services on the PVA TePla AG website requires cookies to be stored on the respective end device. The legal basis for this data processing is Section 25 (2) No. 2 of the Telecommunications Digital Services Data Protection Act (TDDDG). These cookies are necessary for technical reasons in order to ensure the functionality of the website. Further data protection information on visiting the PVA TePla AG website can be found at <https://www.pvatepla.com/datenschutz/>.

### **III. Receiver**

The service providers commissioned by PVA TePla AG for the purpose of organizing the Annual General Meeting process the personal data of shareholders or proxies exclusively in accordance with the instructions of PVA TePla AG and only insofar as this is necessary for the performance of the commissioned service.

In addition, personal data of shareholders or proxies attending the Annual General Meeting can be viewed by other shareholders and proxies in accordance with the statutory provisions (in particular the list of participants, Section 129 AktG). Personal data of shareholders or proxies will also be published or made accessible or available to other shareholders and proxies as well as intermediaries and shareholders' associations in the event of motions for additions to the agenda, counter motions, election proposals or objections submitted in accordance with the statutory provisions under certain conditions. Insofar as this is necessary for the proper conduct of the Annual General Meeting or to protect its legitimate interests, PVA TePla AG may also transmit personal data to notaries and lawyers commissioned by it who are subject to a professional duty of confidentiality. PVA TePla AG may

also be obliged to transfer personal data of shareholders or authorized representatives to other recipients, such as authorities, in order to comply with statutory reporting obligations. The legal basis for data processing in these cases is Art. 6 para. 1 sentence 1 lit. c) GDPR in conjunction with the statutory provision from which a corresponding obligation to process data follows or, if there is no statutory obligation, Art. 6 para. 1 sentence 1 lit. f) GDPR (legitimate interests of PVA TePla AG).

#### **IV. Storage duration**

PVA TePla AG deletes the personal data of shareholders or authorized representatives in accordance with the statutory provisions, in particular if the personal data is no longer required for the original purposes of collection or processing, the data is no longer required in connection with any administrative or legal proceedings and there are no statutory retention obligations or other justifications for storage.

#### **V. Rights of data subjects**

Subject to the legal requirements, the existence of which must be examined on a case-by-case basis, shareholders or authorized representatives have the right to obtain information about their processed personal data, to request the rectification or erasure of their personal data or the restriction of processing, as well as a right to data portability. In addition, shareholders or authorized representatives have the right to lodge a complaint with the supervisory authorities.

**If personal data is processed on the basis of Art. 6 para. 1 sentence 1 lit. f) GDPR, the shareholders or authorized representatives also have the right to object under the legal requirements, the existence of which must be checked in each individual case** . In this respect, the provision of personal data is not required by law or contract.

For comments and queries regarding the processing of personal data, shareholders or authorized representatives can contact the Data Protection Officer of PVA TePla AG at:

Dr. Gert Fisahn  
PVA TePla AG  
Im Westpark 10 - 12, 35435 Wetzlar  
Phone: 064168690400  
E-Mail: [datenschutz@pvatepla.com](mailto:datenschutz@pvatepla.com)